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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of:

CRAIG A. SMITH et al

Reissue Application  
of: U.S. Patent 5,712,155

Group Art Unit: 1646

Issued: January 27, 1998

Examiner: Basham, D.

Reissue Application Filed: August 31, 1998

For: DNA ENCODING TUMOR NECROSIS  
FACTOR- $\alpha$  AND - $\beta$  RECEPTORS

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Assistant Commissioner  
of Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 which the Examiner may deem relevant to the patentability of the claims of the above-identified application.

The listed documents were either cited by the Examiner or brought to the Examiner's attention by Applicants in Parent U.S. Patent No. 5,712,155; Grandparent U.S. Application Serial No. 07/523,635 (now U.S. Patent 5,395,760); Great Grandparent U.S. Application Serial No. 07/421,417; Great-Great Grandparent U.S. Application Serial No. 07/405,370; and Great-Great-Great Grandparent U.S. Application Serial No. 07/403,241.

Also, several additional references have been cited in related U.S. Application Serial No. 08/038,765, filed March 19, 1993; U.S.


**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98  
Reissue of U.S. Patent No. 5,712,155**

Application Serial No. 08/555,629, filed November 9, 1995; and U.S. Application Serial No. 08/953,268, filed October 17, 1997, which may be considered to be relevant to patentability of the claims of the above-identified application. Hence, no references are being provided herewith.

The present Information Disclosure Statement is being filed no later than three months from the application's reissue filing date and before the mailing date of the first Office Action on the merits, and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

  
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Date: August 31, 1998